

03/05/02
Jc662 U.S. PTO

FORM PTO 1082-

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

HAND DELIVERY
PATENT
Case Docket No. 36856.621
Date: March 5, 2002

Jc662 U.S. PTO
10/090151
03/05/02

Sir:
Transmitted herewith for filing is the patent application of:

Inventor(s): Shigeto TAGA

For: **SURFACE ACOUSTIC WAVE DEVICE, METHOD FOR MAKING THE SAME, AND COMMUNICATION APPARATUS INCLUDING THE SAME**

☐ Applicant(s) claim(s) benefit under 35 U.S.C. § 119(e) of United States provisional application No. _____ filed _____

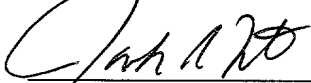
Enclosed are:

- 29 Pages of Specification
- 7 Sheet(s) of drawings (☐ formal ☒ informal)
- ☒ Declaration and Power of Attorney ☐ Will follow.
- ☒ Form PTO-1595 and an Assignment of the invention to Murata Manufacturing Co., Ltd. of 26-10 Tenjin 2-chome, Nagaokakyo-shi, Kyoto-fu 617-8555, JAPAN ☐ Will follow
- ☒ A certified copy of Japanese Patent Appln. No. 2001-111783, filed on April 10, 2001, from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119. ☐ Will follow
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ Information Disclosure Statement, Form PTO 1449, and - cited reference(s).
- ☐ Change of Correspondence Address
- ☐ Preliminary Amendment
- ☒ General authorization/request to Petition for Extensions of Time
- ☒ Return Postcard

| FOR: | NO. FILED | | NO. EXTRA | SMALL ENTITY RATE | SMALL ENTITY FEE | | OTHER THAN SMALL ENTITY RATE | OTHER THAN SMALL ENTITY FEE |
|--------------|-----------|------|-----------|-------------------|------------------|----|------------------------------|-----------------------------|
| BASIC FEE | | | | | \$370.00 | OR | | \$740.00 |
| TOTAL CLAIMS | 22 | -20= | -0- | X 9 | \$ | OR | X 18 | \$36.00 |
| INDEP CLAIMS | 2 | -3= | -0- | X 40 | \$ | OR | X 84 | \$-0- |
| MULTIPLE | | | | X+ 130 | \$ | OR | + 260 | \$-0- |
| DEP CLAIMS | | | | TOTAL = | | | TOTAL: | \$776.00 |
| PRESENTED | | | | | | | | |

- ☐ Please charge my Deposit Account No. 50-1353 the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ Check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ Please charge my Credit Card the amount of \$776.00. Please see the enclosed Credit Card Payment Form (PTO-2038)
- ☒ Credit Card Payment Form for \$40.00 covering the Assignment Recordation Fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1353. A duplicate copy of this sheet is enclosed.
 - ☒ Any additional filing fees required under 37 CFR 1.16.
 - ☒ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-1353. A duplicate copy of this sheet is enclosed.
 - ☐ Any patent application processing fees under 37 CFR 1.17.
 - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
 - ☐ Any filing fees under CFR 1.16 for presentation or extra claims.

Respectfully submitted,


Joseph R. Keating
Registration No. 37,368

KEATING & BENNETT LLP
10400 Eaton Place, Suite 312
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The PTO did not receive the following listed item: POST CARD

VIA HAND DELIVERY

PATENT

36856.621

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| <p>In re application of: Shigeto TAGA</p> <p>Serial No.: Currently unknown</p> <p>Filing Date: Concurrently herewith</p> <p>For: SURFACE ACOUSTIC WAVE DEVICE, METHOD FOR MAKING THE SAME, AND COMMUNICATION APPARATUS INCLUDING THE SAME</p> | <p>U.S. PTO 10/090151 03/05/02</p> |
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GENERAL AUTHORIZATION/REQUEST TO PETITION FOR EXTENSIONS OF TIME

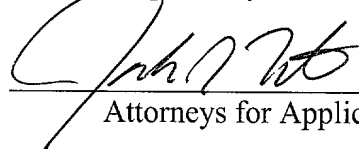
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With reference to the subject application, and pursuant to 37 C.F.R. § 1.136, Applicant(s) hereby authorize(s) and request(s) the Commissioner to treat any correspondence requiring a petition for extension of time as containing such a request therefor for the appropriate length of time. This general authorization is effective during the pendency of this application, including any division or continuing application therefrom.

Where no check is received by the Commissioner, you are hereby authorized to charge payment of the requisite petition fees, or charge any additional fee required under 37 C.F.R. § 1.17, or credit any overpayment of same, to Deposit Account No. 50-1353. A duplicate copy of this general authorization is enclosed.

Respectfully submitted,



Attorneys for Applicant(s)

Date: March 5, 2002

Joseph R. Keating
Registration No. 37,368

Christopher A. Bennett
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Shigeto TAGA

**For: SURFACE ACOUSTIC WAVE DEVICE, METHOD FOR MAKING THE SAME, AND
COMMUNICATION APPARATUS INCLUDING THE SAME**

CERTIFICATE OF HAND DELIVERY

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231


Sir:

Date of Deposit: March 5, 2002

I hereby certify that an application for patent, including:

One-page cover sheet; 29 pages of Specification (which includes 22 claims and a one-page Abstract); 7 Sheets of informal Drawings; an executed Combined Declaration and Power of Attorney; a General Authorization/Request to Petition for Extensions of Time; a Credit Card Payment Form (PTO-2038) authorizing a charge to my credit card in the amount of \$776.00 to cover the filing fee; an executed Assignment document and Form PTO 1595, along with a Credit Card Payment Form (PTO-2038) authorizing a charge to my credit card in the amount of \$40.00 to cover the assignment recordation fee; One (1) Certified Priority Document, a Transmittal Letter (Form PTO 1082); and Return Postcard are being deposited with the U.S. Patent Office at the Customer Service Window of the U.S. Patent Office on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Date of Deposit: March 5, 2002



Joseph R. Keating